

Bruce E. Black, Esq.
3M Office of Intellectual Property Counsel
P.O. Box 33427
St. Paul, MN 55133-3427
U.S.A.
Fax. No. (651) 736-3833

RECEIVED

14 FEB 2002

Legal Coun

International Division

To: Anthony Smith**Company:** US PTO**Fax No.:** 703-308-6459**Date:** February 14, 2003**No. of Pages** (including this page): 32**Re:** US Appln. No.: 09/890482; Docket No.: 53852US013**Notes:**

**THE INFORMATION CONTAINED IN THIS FACSIMILE TRANSMISSION
MAY CONTAIN CONFIDENTIAL OR LEGALLY PRIVILEGED INFORMATION
INTENDED ONLY FOR THE PERSON OR ENTITY NAMED ABOVE.**

If you are not the intended recipient, please do not read, use, disclose, distribute or copy this transmission.

If this transmission was received in error, please immediately notify me by telephone directly at (651) 736-3366 or (651) 733-1500, and we will arrange for its return at no cost to you.

Thank you.

If you are missing pages, please contact
Mr. Black's secretary at (651) 737-8318
-or-
General Telephone No. (651) 733-1500

**32692**

PATENT TRADEMARK OFFICE

Patent
Case No.: 53852US013**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: OUDERKIRK, ANDREW J.
Application No.: 09/890482 Group Art Unit:
Filed: July 26, 2001 Examiner:
Title: INFRARED INTERFERENCE FILTER

COMMUNICATION RE: COURTESY COPY OF DECLARATION

Commissioner for Patents
Washington, DC 20231

CERTIFICATE OF TRANSMISSION

To Fax No.: 703-308-6459

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office on:

2-14-03

Date

Shannon M. Bruce
Signed by: Shannon M. Bruce

Dear Sir:

Per your request, attached is a set of courtesy copies of the following documents relating to the previously-submitted Declaration:

Returned postcard dated February 25, 2002;

Completion of Filing Requirements dated January 8, 2002;

Declaration, Power of Attorney, and Petition;

Copy and translation of Family Register;

Copy and translation of Articles 886-890 of Chapter II Successors of the Japanese Civil Code;

Declaration from Kenichi Katoh declaring that under Articles 886-890 of the Japanese Civil Code Yoko Ishikawa is the legal heir of the deceased inventor;

Declaration stating that Yoko Ishikawa is the legal heir of the deceased inventor;

Copy of an Assignment stating that Sachiko Ishikawa and Minako Aratani are the true inheritors of the right for obtaining the invention and assigning their rights to the sole inheritor, Yoko Ishikawa.

The above-mentioned copies are a complete and accurate set of copies of the Applicants' record of all of the correspondence between the Office and the Applicants related to the previously-submitted Declaration. Applicants are not aware of any other previous correspondence between the Office and the Applicants for such matter.

Application No.: 09/890482

Case No.: 53852US013

In addition to the documents, the Applicants are submitting a Request and Fee to Amend Inventorship under 37 CFR § 1.48© and supporting documents listed therein. This is done in view of the previously submitted Preliminary Amendment, which added claims.

The Examiner is invited to contact Applicants' Representatives, at the below listed telephone number, if it is believed that prosecution of this application may be assisted thereby.

Respectfully submitted,

Feb. 14, 2003
Date

By: B. E. Black
Bruce E. Black
Reg. No.: 41,622
Telephone No.: (651) 736-3366

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

Form 10527 - W. P. W. W.

- Application Of: ANDREW J. OUDERKIRK, ET AL.

Docket No.: 53852US013

Serial No.: 09/890,482

Title: INFRARED INTERFERENCE FILTER

☒ Certif. of Mailing

Amount charged to Deposit Account: \$130

Attorney (initials): BEB:skwj

Date January 8, 2002

Enclosures: Completion of Filing Requirements in Duplicate with copy of Notification Of Missing Requirements; 4-Page Declaration with enclosures; Post Card

05

From 1927, H. D. W. N.

Application Of: **ANDREW J. OUDERKIRK, ET AL.**

Docket No.: 53852US013

Serial No.: 09/890,482

Title: INFRARED INTERFERENCE FILTER

☒ Certif. of Mailing

Amount charged to Deposit Account: \$130

Attorney (initials): BEB:skwj

Date January 8, 2002

Enclosures: Completion of Filing Requirements in Duplicate with copy of Notification Of Missing Requirements; 4-Page Declaration with enclosures; Post Card

JC10 Rec'd PCT/PTO 25 FEB 1962

AC



Patent
Case No.: 53852US013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: OUDERKIRK, ANDREW J.
Application No.: 09/890,482 Group Art Unit:
Filed: July 26, 2001 Examiner:
Title: INFRARED INTERFERENCE FILTER

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on:	
Date Jan 8, 2002	Signature <i>[Handwritten Signature]</i>

COMPLETION OF FILING REQUIREMENTS

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Dear Sir:

This is in response to the NOTIFICATION OF MISSING REQUIREMENTS
UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE
mailed on November 8, 2001 (copy enclosed).

Enclosed is the original declaration for this application. The above-identified
application is the application, which the inventors executed by signing the enclosed
Declaration, Power of Attorney; and Petition.

The inventor Makoto Ishikawa died January 16, 2000 as evidenced in the Translation
of the Family Register enclosed. Also enclosed are a copy of and translation of Articles 886-
890 of Chapter II Successors of the Japanese Civil Code; a Declaration from Kenichi Katoh
declaring that under Articles 886-890 of the Japanese Civil Code Yoko Ishikawa is the legal
heir of the deceased inventor; a Declaration stating that Yoko Ishikawa is the legal heir of the
deceased inventor; and a copy of an Assignment stating that Sachiko Ishikawa and Minako
Aratani are the true inheritors of the right for obtaining the invention and assigning their
rights to the sole inheritor, Yoko Ishikawa. Accordingly, Yoko Ishikawa has signed the
Declaration as heir of Makoto Ishikawa, deceased.

1/8/02
DUE DATE(S) 1/8/02
ATTORNEY 3EB
DOCKETED 12/20

USSN: 09/890,482

Docket No.: 53852US013

Please charge the fee provided in 37 C.F.R. § 1.16(e) to Deposit Account No. 13-3723. Please also charge any additional fees or credit any overpayment to Deposit Account No. 13-3723. One copy of this sheet marked DUPLICATE is also enclosed.

Respectfully submitted,

Jan S. Zou
Date

By: Bruce E. Black
Bruce E. Black, Reg. No.: 41,622
Telephone No.: 651-736-3366

Office of Intellectual Property Counsel
3M Innovative Properties Company
P.O. Box 33427
St. Paul, Minnesota 55133-3427
Facsimile: (651) 736-3833